

1F1 213

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 15, 2006 Signature: B. Polito

(Bruno Polito)

Docket No.: SONYJP 3.3-731
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Inokuchi et al.

Application No.: 09/869,816

Group Art Unit: 2136

Filed: July 3, 2001

Examiner: R. Baum

For: DATA DECODING APPARATUS AND METHOD,
CHARGE INFORMATION PROCESSING
APPARATUS AND METHOD, DATA
REPRODUCING APPARATUS AND METHOD,
ELECTRONIC MONEY, ELECTRONIC USE
RIGHT, AND TERMINAL APPARATUS

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form, which were cited on an International Search Report, be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each non-US reference, and the search report, were previously supplied. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

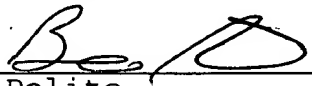
Application No.: 09/869,816

Docket No.: SONYJP 3.3-731

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 15, 2006

Respectfully submitted,

By 
Bruno Polito
Registration No.: 38,580
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorney for Applicant

LD-458\



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/869,816-Conf. #1346
				Filing Date	July 3, 2001
				First Named Inventor	Tatsuya Inokuchi
				Art Unit	2136
				Examiner Name	R. Baum
				Attorney Docket Number	SONYJP 3.3-731
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP-6-019707-B2	03-16-1994	Ryouich, Mori		
	BB	JP-6-095302-B2	11-24-1994	Ryouichi, Mori		
	BC	JP-8-046945-A	02-16-1996	Sony Corp		
	BD	JP-9-134413-A	05-20-1997	Tokin Corp		
	BE	JP-11-110637-A	04-23-1999	Yamada Shinzaburo et al.		
	BF	JP-11-164132-A	06-18-1999	IBM		
	BG	JP-11-177924-A	07-02-1999	Sony Corp		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	IEICE Technical Report, [Jouhou Security], Vol.94, No.240, ISEC94-15, M.Kawahara, "Superdistribution for Electronic Objects", September, 1994 (09.94), pp.17-24	
	CB	The Transactions of the Institute of Electronics, Information and Communication Engineers, Vol.E73, No.7, July 1990, R. Mon et al, "Superdistribution: The concept and the Architecture", Pp.1133-1146	
	CC	"Transactions of Information Processing Society of Japan", Vol.38, No.7, July 1997, R. Mori et al., "Superdistribution: An Electronic Infrastructure for the Economy of the Future", pp.1465-1472	
	CD	Proceedings of IEEE, Vol.87, No.7, July 1999, J. A. Bloom et al., "Copy Protection for DVD Video", pp.1267-1276	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--